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02 - 02 - 41

C944 U.S. PTO 02/10/01	H 02/01/01	UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	3209.2.2	Total Pages	56
		First Named Inventor or Application Identifier				
		See-Wai Yip				
		Express Mail Label No.	EL631838396US			

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Specification [Total Pages **39**]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Application
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
3.  Drawing(s) *(35 USC 113)* [Total Sheets **6**]
4. Oath or Declaration [Total Pages **3**]
  - a.  Newly executed *(original or copy)*
  - b.  Copy from a prior application *(37 CFR 1.63(d))*  
*(for continuation /divisional with Box 17 completed)*  
*[Note Box 5 below]*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5.  Incorporation by Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
4b is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
  - a.  Computer Readable Copy
  - b.  Paper Copy *(identical to computer copy)*
  - c.  Statement verifying identify of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers *(cover sheet & document(s))*
9.  37 CFR 3.73(b) Statement  Power of Attorney  
*(when there is an assignee)*
10.  English Translation Document *(if applicable)*
11.  Information Disclosure Statement **IDS**  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard *(MPEP 503)*  
*(Should be specifically itemized)*
14.  Small Entity  Statement filed in prior application,  
Statement(s) Status still proper and desired
15.  Certified Copy of Priority Document(s)  
*if foreign priority is claimed*
16.  Other: Express Mail Certificate; and a  
Request and Certification Under 35  
U.S.C. 122(b)(2)(B)(i)

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

 Continuation     Divisional     Continuation-in-part (CIP) of prior application Nos.: 60/217,010 60/246,451

## 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21552	or <input type="checkbox"/> Correspondence address below
NAME	Kory D. Christensen	
ADDRESS		
CITY	STATE	ZIP
COUNTRY	TELEPHONE	FAX

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

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02/01/01



# FEE TRANSMITTAL

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See forms PTO/SB/09=12. See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT

995

## Complete If Known

Application Number

February 1, 2001

Filing Date

First Named Inventor

See-Wai Yip

Group Art Unit

Examiner Name

Attorney Docket Number

3209.2.2

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number   
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Charge the Issue Fee In 37 CFR at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check  Money Order  Other: Credit Card

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

## Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1390	218	695	Extension for reply within fourth month	
128	1890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - intentional	
141	1240	241	320	Petition to revive - unintentional	
142	1240	242	620	Utility issue fee	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

\*Reduced by Basic Filing Fee

SUBTOTAL (3)

40

## SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	Kory D. Christensen	Reg. Number	43,548
Signature		Date	Feb 1, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT APPLICATION  
Docket No.: 3209.2.2

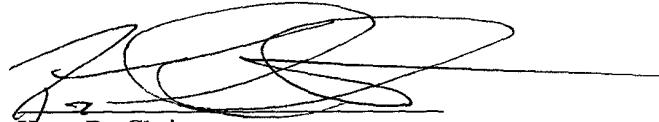
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label No.: EL631838396US

Date of Deposit: February 1, 2001

I hereby certify that this patent application in the name of See-Wai Yip, Kok-Khuan Fong, Kok-Hoon Teo, and Eng-Whatt Toh for SYSTEMS AND METHODS FOR PKI-ENABLING APPLICATIONS USING APPLICATION-SPECIFIC CERTIFICATES, together with the drawings, a Utility Patent Application Transmittal, a Fee Transmittal, a Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i), a joint signature Declaration, Power of Attorney, and Petition, an Assignment, a Recordation Form Cover Sheet and a Credit Card Payment Form for \$995 are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,



Kory D. Christensen  
Reg. No. 43,548  
Attorney for Applicant

Date: February 1, 2001

MADSON & METCALF  
Gateway Tower West  
15 West South Temple, Suite 900  
Salt Lake City, Utah 84101  
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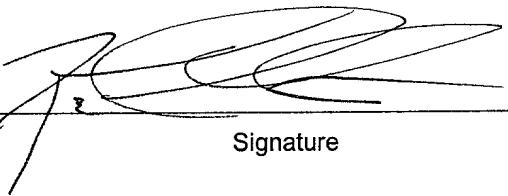
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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	See-Wai Yip
	Title	Systems and Methods for PKI-Enabling Applications Using Application-Specific Certificates
	Atty Docket Number	3209.2.2

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 1, 2001

Date



Signature

Kory D. Christensen

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**